

Notice of References Cited

Application/Control No.

10/643,656

Applicant(s)/Patent Under
Reexamination
LOCKLEY ET AL.

Examiner

William E. Rankins

Art Unit

3609

Page 1 of 2

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2004/0215538	10-2004	Smith et al.	705/035
*	B	US-2005/0108125	05-2005	Goodwin et al.	705/035
*	C	US-2002/0161693	10-2002	Greenwald, Jamie A.	705/37
*	D	US-6,278,982	08-2001	Korhammer et al.	705/36R
*	E	US-2006/0167788	07-2006	Tilly et al.	705/037
*	F	US-2003/0069922	04-2003	Arunachalam, Lakshmi	709/203
*	G	US-2005/0027635	02-2005	Monroe et al.	705/037
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	NYSE takes trading into the 21st century, Dean Tomasula. Wall Street and technology. New York; Nov 1995. Vol. 13, Iss. 11; pg. 42, 6 pgs.
	V	What is behind your front-end?, Daniel P. Collins. Futures. Chicago: 2001 Vol. 30, Iss. 12; pg. 6, 3 pgs.
	W	Traders, choose your weapon, James T. Holter, Futures. Chicago: 2001. Vol. 30, Iss. 12; pg. 32, ProQuest copy pgs. 1-6.
	X	CyberTrader launches Wireless Direct Access Stock Trading via Palm Devices, Business Editors. business Wire. New York: Aug 17, 2001. pg. 1

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References CitedApplication/Control No.
10/643,656Applicant(s)/Patent Under
Reexamination
LOCKLEY ET AL.Examiner
William E. RankinsArt Unit
3609

Page 2 of 2

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	NASDAQ and AMEX: Divided they stand, Robert Sales. Wall Street and Technology. New York: Apr 2001. Vol. 19, Iss. 4; Pg. 23, 8 pgs
	V	Big Board Breakthroughs, Robert Sales. Wall Street and Technology. New York: Jan 2001, Vol. 19, Iss. 1; pg. 14, 7 pgs.
	W	Patsystems, J-Trader Version 2.8.3 User Guide, May 17 th , 2002, Document Version 1.0
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.